

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

J.P. MORGAN CHASE BANK, N.A.

2. Address ☐ Check if different than previously reported

Address1 **270 PARK AVENUE, 29th FLOOR** Address2

City **NEW YORK** State **NY** Zip Code **10017** - Country **USA**

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name

b. Telephone Number

c. E-mail

5. Senate ID#

PIERCE SCRANTON

☐ International Number

(202) 585-3759

perce.e.scranton@jpmchase.com

400280349-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

6. House ID#

J.P. MORGAN CHASE BANK, N.A.

401250000

TYPE OF REPORT 8. Year **2012** Q1 (1/1 - 3/31) ☒ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$1,920,000.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

☒ Method A. Reporting amounts using LDA definitions only

☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Filed Electronically

Date

04/20/2012

Printed Name and Title **Mr. Pierce Scranton, Executive Director**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

BANKING

(one per page)

16. Specific lobbying issues

S 489, S 170 - discussed home mortgage loan modifications, foreclosures, servicing, and refinancing; Discussed rulemakings on the fiduciary standard for broker-dealers and investment advisers.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Securities & Exchange Commission (SEC), Treasury - Dept of, Labor - Dept of (DOL)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katherine	Childress			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and TitleMr. Pierce Scranton, Executive Director

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15. General issue area code

CPT

COPYRIGHT/PATENT/TRADEMARK

(one per page)

16. Specific lobbying issues

HR 3261 - discussed Stop Online Piracy Act (SOPA).

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nat	Wienecke			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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15. General issue area code

CSP

CONSUMER ISSUES/SAFETY/PRODUCTS

(one per page)

16. Specific lobbying issues

HR 3035 - discussed proposals to amend the Telephone Consumer Protection Act; Discussed issues related to interchange fees.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Hilary	West			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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15. General issue area code

ENG

ENERGY/NUCLEAR

(one per page)

16. Specific lobbying issues

Discussed renewable and fossil energy policy and markets.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Granville	Martin			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

HR 1838, 2586, 2779, 3283, 4014; S 2232, 2682, 2099 - discussed implementation of the Dodd Frank Act; HR 940, 3644; S 1835, 170, 1963, 1831 - discussed the implications of GSE reform and other proposed changes to the housing finance mkt; Discussed dynamics in commodities markets; SEC reform; proposals related to the regulation of the mutual fund industry and money market funds; the potential impact of new capital requirements.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commodity Futures Trading Commission (CFTC), Federal Reserve System, Federal Deposit Insurance Commission (FDIC), Office of the Comptroller of the Currency (OCC), Securities & Exchange Commission (SEC), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Naomi	Camper			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
Todd	Williamson			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

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15. General issue area code

LAW

LAW ENFORCEMENT/CRIME/CRIMINAL JUSTICE

(one per page)

16. Specific lobbying issues

S 1207, 1434, 1151, 413, 968; HR 1707, 1841, 2577, 3523, 3261 - discussed proposals related to cyber security and data breach; discussed PROTECT IP, and Business Cards.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nat	Wienecke			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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15. General issue area code

RET

RETIREMENT

(one per page)

16. Specific lobbying issues

Discussed the impact of proposed changes to the provision of investment advice, fee disclosure, an index fund mandate, and target date funds.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Koonce			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
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Printed Name and Title Mr. Pierce Scranton, Executive Director

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15. General issue area code

SMB

SMALL BUSINESS

(one per page)

16. Specific lobbying issues

HR 3606 - discussed Jumpstart Our Business Startups Act; Discussed Small Business lending issues.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Koonce			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

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15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

HR 749 - discussed proposals to extend the active finance exception to Subpart F; Discussed the issue of corporate tax reform.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Pierce	Scranton			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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Printed Name and Title

Mr. Pierce Scranton, Executive Director

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15. General issue area code

TRD

TRADE (DOMESTIC/FOREIGN)

(one per page)

16. Specific lobbying issues

S 1619, 1547; HR 2072 - discussed the issue of foreign exchange rates; Issues related to Export-Import Bank; Issues related to granting Permanent Normal Trade Relations to Russia; Issues of cross-border market access.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Treasury - Dept of, U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Jack	Bartling			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
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				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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15. General issue area code

VET

VETERANS

(one per page)

16. Specific lobbying issues

Discussed Veterans hiring and compliance with the Servicemembers Civil Relief Act.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Defense - Dept of (DOD)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Steve	Patterson			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
Todd	Williamson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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